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By:  Printed: Jeannie G. Labra

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lal et al.

Title: SIGNAL PEPTIDE-CONTAINING MOLECULES

Serial No.: To Be Assigned

Filing Date: Herewith

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

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Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants wish to call to the attention of the Examiner the enclosed "List of References Cited by Applicants." The right is reserved to antedate any item in accordance with standard procedure.

Applicants respectfully submit under 37 C.F.R. 1.98(3)(d) that copies of the references are not included herein as copies were previously cited by or submitted to the Office in parent application Serial No. 09/720,533, filed March 20, 2001, from which we are claiming priority under 35 U.S.C. 120.

Citation of the documents is not to be construed as an admission that the documents are necessarily prior art with respect to the instant invention. This submission is understood to complement the results of the Examiner's own independent search. Citation of the documents shall not be construed as a representation that a search has been made or that the cited items are inclusive of all the relevant and material citations that may be available publicly. Any NCBI report included herein may not have an accurate date for prior art purposes. Some of the documents may have markings thereon. No significance is meant to be attached to the markings.

Applicants respectfully request that the cited documents be considered by the Examiner and that an initialed copy of the List of References Cited by Applicants be returned to Applicants.

It is believed that this disclosure complies with 37 CFR §§ 1.56, 1.97 and 1.98 and the Manual of Patent Examining Procedures § 609. If for some reason the Examiner considers otherwise, please telephone the undersigned.

Applicants believe that no fee is due with this paper. However, if the Commissioner determines that a fee is necessary, the Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 09-0108.

If there are any questions regarding the above, the Examiner is invited to call the undersigned.

Respectfully submitted,

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|--|---|---|-----------------|-----------------|-------|----------------|----------------------------|
| U.S. Department of Commerce, Patent and Trademark Office   |   |   |                 | Atty Docket No. |       | Serial No.     |                            |
|  |   |   |                 | PF-0541-1 DIV   |       | To Be Assigned |                            |
| LIST OF REFERENCES CITED BY APPLICANTS   |   |   |                 | Applicant(s)    |       |                |                            |
| (Use several sheets if necessary)  |   |   |                 | Lal et al.      |       |                |                            |
|  |   |   |                 | Filing Date     |       | Group          |                            |
|  |   |   |                 | Herewith        |       | To Be Assigned |                            |
| U.S. Patent Documents  |   |   |                 |                 |       |                |                            |
| *Examiner Initial  |   | Document Number   | Date            | Name            | Class | Subclass       | Filing Date If Appropriate |
|  |   |   |                 |                 |       |                |                            |
| Foreign Patent Documents   |   |   |                 |                 |       |                |                            |
|  |   |   |                 |                 |       |                | Translation                |
|  |   | Document  | Date            | Country         | Class | Subclass       | Yes No                     |
|  | 1 | WO 99/31117   | 6/24/99         | PCT             |       |                |                            |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)   |   |   |                 |                 |       |                |                            |
|  | 2 | Carninci, P. et al., (Direct Submission), GenBank Sequence Database (Accession BAC33411), National Center for Biotechnology Information, National Library of Medicine, Bethesda, Maryland, 20894 (GI 26339480) 05-DEC-2002  |                 |                 |       |                |                            |
|  | 3 | Conklin, D.C. et al., (Direct Submission), GenBank Sequence Database (Accession CAC88605), National Center for Biotechnology Information, National Library of Medicine, Bethesda, Maryland, 20894 (GI 15862412) 28-SEP-2001 |                 |                 |       |                |                            |
|  | 4 | Fujita, M. et al., (Direct Submission), GenBank Sequence Database (Accession AB056722), National Center for Biotechnology Information, National Library of Medicine, Bethesda, Maryland, 20894 (GI 22779233) 11-SEP-2002    |                 |                 |       |                |                            |
|  | 5 | Hudson, T., (Direct Submission), Nucleotide Sequence Database (Accession HS578357), European Molecular Biology Laboratory - European Bioinformatics Institute 27-APR-2000   |                 |                 |       |                |                            |
|  | 6 | Koehrer, K. et al., (Direct Submission), GenBank Sequence Database (Accession AL110199), National Center for Biotechnology Information, National Library of Medicine, Bethesda, Maryland, 20894 (GI 5817118) 18-FEB-2000    |                 |                 |       |                |                            |
|  |   |   |                 |                 |       |                |                            |
| Examiner   |   |   | Date Considered |                 |       |                |                            |
| <p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p> |   |   |                 |                 |       |                |                            |